

Exhibit 300: Capital Asset Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview & Summary Information

Date Investment First Submitted: 2009-06-30
Date of Last Change to Activities: 2012-08-11
Investment Auto Submission Date: 2012-02-22
Date of Last Investment Detail Update: 2012-02-22
Date of Last Exhibit 300A Update: 2012-02-22
Date of Last Revision: 2012-08-11

Agency: 012 - Department of Labor **Bureau:** 15 - Office of Workers' Compensation Programs

Investment Part Code: 01

Investment Category: 00 - Agency Investments

1. Name of this Investment: OWCP - Integrated Federal Employees' Compensation System (iFECS)

2. Unique Investment Identifier (Ull): 012-000002015

Section B: Investment Detail

- 1. Provide a brief summary of the investment, including a brief description of the related benefit to the mission delivery and management support areas, and the primary beneficiary(ies) of the investment. Include an explanation of any dependencies between this investment and other investments.**

The mixed lifecycle integrated Federal Employees' Compensation System (iFECS) is a mission-critical investment supporting the Division of Federal Employees' Compensation (DFEC) in the adjudication and payment of compensation claims. DFEC is charged by Congress to provide benefits to civilian employees of the Federal Government injured or made ill at work, and to certain other designated groups covered by the Federal Employees' Compensation Act (FECA). Benefits include wage replacement, payment for medical care, and medical and vocational rehabilitation assistance in returning to work. iFECS enables claims adjudication and wage-loss compensation. DFEC aligns with Federal, Departmental, and Agency strategic goals. As part of the Secretary's good jobs for everyone vision, iFECS facilitates income support when work is impossible due to work-related illness. Funding was approved for FY10 to modernize iFECS with the Fair Share initiative to implement: Interactive Voice Response (IVR), E-Compensation Management Portal (Ecomp), and Centralized Case Create & Imaging (3Ci) operations. Due to delayed apportionment and procurement much of the work was delayed to FY11. With agreement from OCIO, the initiative joined the DOL's EVM program in October 2010 and is currently deploying usable components. OWCP/DFEC received approval for FY 2012 funding of \$1.2 million to implement the mandated ICD-10 medical diagnosis coding schema. Unfortunately when funds were appropriated via a Continuing Resolution, this funding was lost. Therefore OWCP/DFEC will have to proceed

with this upgrade out of existing funds. This will drastically affect its implementation plan which has had to descope large portions of the project. Also the project will be delayed until FY 2013. However, without this vital update, iFECS will not be able to process medical benefit payments estimated at over \$800M.

2. How does this investment close in part or in whole any identified performance gap in support of the mission delivery and management support areas? Include an assessment of the program impact if this investment isn't fully funded.

The proposed Fair Share Initiative improvements will facilitate sorely needed direct electronic communication between DFEC and its customer agencies. Currently, if a customer agency wants to receive interim information about its organization's claims a dedicated connection between the two agencies must be established via a lengthy and at-cost process, or request a CD to be mailed. While this allows agencies to see the information on a monthly basis, real-time electronic access to the data will improve the customer agencies abilities to manage their compensation claims and their budgets. ECOMP 2.0 will allow claimants to view previously-submitted adjudicatory evidence and to review other compensation claim files. Currently claimants must travel to a District Office to review their compensation claim files. Failure to fund the iFECS request will prevent DFEC from implementing better on-line communication with its customers and preventing or reducing the impact of payment errors. Failure to conduct the ICD-10 migration will prevent the compensation system from being able to pay medical benefits.

3. Provide a list of this investment's accomplishments in the prior year (PY), including projects or useful components/project segments completed, new functionality added, or operational efficiency achieved.

By the end of FY11 DFEC will have deployed the following useful components: - Modernized IVR implemented in all offices. - Case create and imaging consolidated from 13 to two offices. - ECOMP Web Portal developed and released to provide electronic claims initiation and supporting documentation uploading free of charge to Federal employing agencies via the Web.

4. Provide a list of planned accomplishments for current year (CY) and budget year (BY).

In FY 2012 DFEC will: - Analysis, design, and begin coding for ICD-10 Maintenance release - Deploy regular maintenance releases for ECOMP and iFECS to include expanding ECOMP to include OSHA and the other three OWCP programs. In FY 2013: - Complete and deploy ICD-10 Maintenance release - Regular maintenance releases will be deployed for ECOMP and iFECS.

5. Provide the date of the Charter establishing the required Integrated Program Team (IPT) for this investment. An IPT must always include, but is not limited to: a qualified fully-dedicated IT program manager, a contract specialist, an information technology specialist, a security specialist and a business process owner before OMB will approve this program investment budget. IT Program Manager, Business Process Owner and Contract Specialist must be Government Employees.

2011-06-30

Section C: Summary of Funding (Budget Authority for Capital Assets)

1.

Table I.C.1 Summary of Funding

| | PY-1 & Prior | PY 2011 | CY 2012 | BY 2013 |
|--|--------------------|------------|------------|------------|
| Planning Costs: | \$9.3 | \$0.0 | \$0.0 | \$0.0 |
| DME (Excluding Planning) Costs: | \$29.2 | \$0.0 | \$0.0 | \$0.0 |
| DME (Including Planning) Govt. FTEs: | \$0.5 | \$0.0 | \$0.0 | \$0.0 |
| Sub-Total DME (Including Govt. FTE): | \$39.0 | 0 | 0 | 0 |
| O & M Costs: | \$124.6 | \$16.6 | \$16.6 | \$16.9 |
| O & M Govt. FTEs: | \$18.8 | \$2.3 | \$2.3 | \$2.3 |
| Sub-Total O & M Costs (Including Govt. FTE): | \$143.4 | \$18.9 | \$18.9 | \$19.2 |
| Total Cost (Including Govt. FTE): | \$182.4 | \$18.9 | \$18.9 | \$19.2 |
| Total Govt. FTE costs: | \$19.3 | \$2.3 | \$2.3 | \$2.3 |
| # of FTE rep by costs: | 168 | 21 | 22 | 19 |
| | | | | |
| Total change from prior year final President's Budget (\$) | | \$0.0 | \$-1.2 | |
| Total change from prior year final President's Budget (%) | | 0.00% | -6.00% | |

2. If the funding levels have changed from the FY 2012 President's Budget request for PY or CY, briefly explain those changes:

The funding for ICD-10 was not allocated in the FY12 funds.

Section D: Acquisition/Contract Strategy (All Capital Assets)

Table I.D.1 Contracts and Acquisition Strategy

| Contract Type | EVM Required | Contracting Agency ID | Procurement Instrument Identifier (PIID) | Indefinite Delivery Vehicle (IDV) Reference ID | IDV Agency ID | Solicitation ID | Ultimate Contract Value (\$M) | Type | PBSA ? | Effective Date | Actual or Expected End Date |
|---------------|--------------|-------------------------------|--|--|---------------|-----------------|-------------------------------|------|--------|----------------|-----------------------------|
| Awarded | | DOLJ089E26725 | | | | | | | | | |
| Awarded | | DOLJ069E24212 | | | | | | | | | |
| Awarded | | DOLB109E30683 | | | | | | | | | |
| Awarded | | DOLJ109E30229 | | | | | | | | | |
| Awarded | | DOLJ119E31994 | | | | | | | | | |

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

For the DME portions of the project, as contracts and task orders are let for this work EVM is included in those contracts. iFECS joined the DOL EVM program as of October 2010. OWCP/DFEC has established contracts for specific tasks to fulfill its IT requirements. Any contract or task order that does not include EVM is for O&M work. While OWCP understands the risks involved in using Time and Materials contracts, this risk has been mitigated in most instances by the inclusion of performance based criteria in the contract or task order. All O&M projects are required to have a project plan complete with work breakdown structures. In addition overall cost and schedule variances for the program are monitored on a quarterly basis through the OCIO Quarterly Review process.

Exhibit 300B: Performance Measurement Report

Section A: General Information

Date of Last Change to Activities: 2012-08-11

Section B: Project Execution Data

Table II.B.1 Projects

| Project ID | Project Name | Project Description | Project Start Date | Project Completion Date | Project Lifecycle Cost (\$M) |
|------------|--------------|---|--------------------|-------------------------|------------------------------|
| 201501 | ECOMP | Development of the iFECS ECOMP enhancement. | | | |
| 201502 | 3CI | Centralize Case Create and Imaging Operations. | | | |
| 201503 | Maintenance | iFECS Maintenance release activities. | | | |
| 201504 | ICD-10 | Modernizing the existing code system in the iFECS case management system to comply with the ICD-10 coding schema. | | | |

Activity Summary

Roll-up of Information Provided in Lowest Level Child Activities

| Project ID | Name | Total Cost of Project Activities (\$M) | End Point Schedule Variance (in days) | End Point Schedule Variance (%) | Cost Variance (\$M) | Cost Variance (%) | Total Planned Cost (\$M) | Count of Activities |
|------------|-------------|--|---------------------------------------|---------------------------------|----------------------|-------------------|--------------------------|---------------------|
| 201501 | ECOMP | | | | | | | |
| 201502 | 3CI | | | | | | | |
| 201503 | Maintenance | | | | | | | |
| 201504 | ICD-10 | | | | | | | |

| Key Deliverables | | | | | | | | |
|------------------|--|---|-------------------------|---------------------------|------------------------|--------------------|------------------------------|-----------------------|
| Project Name | Activity Name | Description | Planned Completion Date | Projected Completion Date | Actual Completion Date | Duration (in days) | Schedule Variance (in days) | Schedule Variance (%) |
| 201502 | Systems testing | Systems testing for the 3CI project | 2010-08-06 | 2011-09-09 | 2011-09-09 | 21 | -399 | -1,900.00% |
| 201502 | Training activities | Training activities for the 3CI project | 2010-08-23 | 2011-09-16 | 2011-09-16 | 98 | -389 | -396.94% |
| 201502 | User Acceptance Testing | UAT for the 3CI project | 2010-08-27 | 2011-09-26 | 2011-09-26 | 18 | -395 | -2,194.44% |
| 201502 | Facilities - Primary | Readying Primary 3CI Facility | 2010-08-27 | 2011-07-29 | 2011-07-29 | 1 | -336 | -33,600.00% |
| 201502 | User Outreach | Outreach activities for the 3CI project | 2010-09-10 | 2011-09-26 | 2011-09-26 | 116 | -381 | -328.45% |
| 201502 | Documentation | Documentation for the 3CI project | 2010-09-13 | 2011-09-28 | 2011-09-28 | 157 | -380 | -242.04% |
| 201501 | Post PRD Deployment Monitoring / Troubleshooting | Post PRD deployment activities for the ECOMP project | 2010-09-13 | 2011-10-31 | 2011-10-31 | 10 | -413 | -4,130.00% |
| 201502 | Deployment | Deployment activities for the 3CI project | 2010-09-17 | 2011-09-27 | 2011-09-27 | 25 | -375 | -1,500.00% |
| 201501 | Document / Train Users | ECOMP user training and documentation | 2010-09-28 | 2011-11-25 | 2011-11-25 | 40 | -423 | -1,057.50% |
| 201501 | LiveCycle Developer Training | Training of LiveCycle Developers for the ECOMP project | 2010-09-29 | 2011-11-17 | 2011-11-17 | 26 | -414 | -1,592.31% |
| 201504 | ICD-10 Maintenance Release Initialization | Determine full extent of reduced scope and high level requirements. Create the project charter/scope. | 2012-02-24 | 2012-09-28 | | 38 | -217 | -571.05% |

Section C: Operational Data

Table II.C.1 Performance Metrics

| Metric Description | Unit of Measure | FEA Performance Measurement Category Mapping | Measurement Condition | Baseline | Target for PY | Actual for PY | Target for CY | Reporting Frequency |
|---|--|--|-----------------------|-----------|---------------|---------------|---------------|---------------------|
| Achieve a cost savings in central mail costs as a result of the electronic submission of forms | Percentage of costs | Technology - Technology Costs | Over target | 0.000000 | 0.000000 | 0.000000 | 5.000000 | Monthly |
| Increase to 86.7% in FY 11 and 88.4% in FY 12 the percentage of injured Federal workers (from major Federal agencies) that return to Federal employment, either with their previous employer or another Federal agency. | Percentage | Mission and Business Results - Services for Citizens | Over target | 81.900000 | 86.700000 | 91.600000 | 88.400000 | Semi-Annual |
| Decrease Lost production days (LPD per 100 employees) for FECA cases of all (Non-Postal) Government Agencies to 41 days in FY 2011 and 35.1 days in FY 2012. | Days | Customer Results - Customer Benefit | Under target | 41.000000 | 41.000000 | 33.800000 | 35.100000 | Semi-Annual |
| Decrease the percentage of average monthly calls to claims representatives as a result of the IVR automated self help features | Percentage of monthly call volumes to CE/CSR's | Technology - Efficiency | Over target | 0.000000 | 0.000000 | 0.000000 | 5.000000 | Quarterly |
| Achieve a cost savings in the FECA program through consolidation of case create and imaging | Percentage of costs | Technology - Technology Costs | Over target | 0.000000 | 0.000000 | 0.000000 | 15.000000 | Quarterly |

| Table II.C.1 Performance Metrics | | | | | | | | |
|---|-----------------|--|-----------------------|-----------|---------------|---------------|---------------|---------------------|
| Metric Description | Unit of Measure | FEA Performance Measurement Category Mapping | Measurement Condition | Baseline | Target for PY | Actual for PY | Target for CY | Reporting Frequency |
| functions. | | | | | | | | |
| Maintain iFECS system availability at 99% 24 hour coverage on an annual basis except for scheduled outages. | Percentage | Technology - Reliability and Availability | Over target | 99.000000 | 99.000000 | 99.000000 | 99.000000 | Monthly |